Agenda

o Current Trends

o Case Study

o Approach

o Business Case

o Q & A

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Current Trends

o Increasing regulatory requirements

o New and evolving standards

o Technology innovation

o Increasing technology dependencies

o Changed business environment

o Maturing of compliance tools

Unified IT Compliance Case Study

o Drivers for a unified approach

o Getting executive support

o Establishing the function

o One year into the function

o Lessons learned

o Future plans

Establishing Unified IT Compliance

o Risk assessment

o Scoping

o Controls rationalization

o Governance structure

o Resourcing

Operating Unified IT Compliance

o Process risk assessment

o Project risk assessment

o Auditing and monitoring

o Vendor management

o Remediation management

o Program reporting

Sustaining Unified IT Compliance

o Re-evaluate scoping

o Consider automation capabilities

o Continuous improvement

o Taking credit for the value provided

Business Case for Unified IT Compliance

o **Operations**
  – Reduced operational impact
  – Controls culture
  – Increased accountability
  – Reliance on the function

o **Governance, risk and compliance**
  – Improved risk management
  – Improved security
  – Improved compliance
  – Improved visibility into security/compliance posture

o **Financial and business**
  – Better data to guide investment decisions
  – Better data to assess IT project success
  – Build in controls from the start

Q&A

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For More Information

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